

# **Research Article**

# Carbon dioxide stimulation of photosynthesis in Liquidambar styraciflua is not sustained during a 12-year field experiment

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**Abstract.** Elevated atmospheric CO<sub>2</sub> (eCO<sub>2</sub>) often increases photosynthetic CO<sub>2</sub> assimilation (A) in field studies of temperate tree species. However, there is evidence that A may decline through time due to biochemical and morphological acclimation, and environmental constraints. Indeed, at the free-air  $CO_2$  enrichment (FACE) study in Oak Ridge, Tennessee, A was increased in 12-year-old sweetqum trees following 2 years of  $\sim$ 40 % enhancement of CO<sub>2</sub>. A was re-assessed a decade later to determine if the initial enhancement of photosynthesis by eCO2 was sustained through time. Measurements were conducted at prevailing CO<sub>2</sub> and temperature on detached, re-hydrated branches using a portable gas exchange system. Photosynthetic  $CO_2$  response curves (A versus the  $CO_2$  concentration in the intercellular air space  $(C_i)$ ; or  $A-C_i$  curves) were contrasted with earlier measurements using leaf photosynthesis model equations. Relationships between light-saturated photosynthesis ( $A_{sat}$ ), maximum electron transport rate ( $J_{max}$ ), maximum Rubisco activity ( $V_{cmax}$ ), chlorophyll content and foliar nitrogen (N) were assessed. In 1999,  $A_{sat}$  for eCO<sub>2</sub> treatments was 15.4  $\pm$  0.8  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>, 22 % higher than aCO<sub>2</sub> treatments (P < 0.01). By 2009,  $A_{sat}$  declined to < 50 % of 1999 values, and there was no longer a significant effect of eCO<sub>2</sub> ( $A_{sat} = 6.9$  or  $5.7 \pm 0.7$  µmol m<sup>-2</sup> s<sup>-1</sup> for eCO<sub>2</sub> or aCO<sub>2</sub>, respectively). In 1999, there was no treatment effect on area-based foliar N; however, by 2008, N content in eCO2 foliage was 17 % less than that in aCO2 foliage. Photosynthetic N-use efficiency ( $A_{sat}$ : N) was greater in eCO<sub>2</sub> in 1999 resulting in greater  $A_{sat}$  despite similar N content, but the enhanced efficiency in eCO2 trees was lost as foliar N declined to sub-optimal levels. There was no treatment difference in the declining linear relationships between  $J_{\text{max}}$  or  $V_{\text{cmax}}$  with declining N, or in the ratio of  $J_{\text{max}}$ :  $V_{\text{cmax}}$ through time. Results suggest that the initial enhancement of photosynthesis to elevated CO2 will not be sustained through time if N becomes limited.

**Keywords:** Acclimation; down-regulation; free-air CO<sub>2</sub> enrichment; nitrogen limitation; sweetgum.

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#### Introduction

In 2013, annual atmospheric CO<sub>2</sub> concentration exceeded 396 ppm at Mauna Loa, 2.6 ppm greater than in 2012 and 25 % greater than the initial measurements in 1959 (Keeling et al. 2014). Global atmospheric model simulations estimate that this trajectory will continue (IPCC 2013), and thus terrestrial plant communities will remain exposed to increasing CO<sub>2</sub> for the foreseeable future. Atmospheric CO<sub>2</sub> is a potent climate-forcing agent contributing to increased atmospheric temperatures, and ecosystem balance between plant CO<sub>2</sub> uptake through photosynthesis and release through respiratory activity remains a key uncertainty in mechanistic Earth system models that project ecosystem feedbacks to the atmosphere. To address ecosystem response to elevated CO<sub>2</sub> (eCO<sub>2</sub>), various plant species have been exposed to air enriched with CO<sub>2</sub> in short-term open- or closedtopped field chambers. Results suggested substantial eCO<sub>2</sub> stimulation of photosynthesis (Luxmoore et al. 1993; Gunderson and Wullschleger 1994; Medlyn et al. 1999; Norby et al. 1999) across various woody species (e.g. in oak, yellow poplar (Gunderson et al. 1993), pine (Tissue et al. 1997), eucalypts (Ghannoum et al. 2010) and tropical seedlings (Ziska et al. 1991)). In other studies, eCO<sub>2</sub> induced significant down-regulation and loss of photosynthetic capacity (e.g. in Arctic tundra grasses and shrubs (Tissue and Oechel 1987; Oechel et al. 1994), beech (Epron et al. 1996) and spruce (Marek et al. 1995)), indicating that interaction with soil resources, longer term feedbacks and progressive plant acclimation remained a key uncertainty (Curtis and Wang 1998). New, larger experiments using free-air CO<sub>2</sub> enrichment (FACE) technology (Norby et al. 2001) have allowed field crops, grasses and, in particular, woody forest vegetation to be exposed to eCO<sub>2</sub> over many years. Such studies allow distinction of long-term responses from transient responses due to leaf plasticity, stand development or inter-annual environmental variability.

Similar to the response of plants in chambers, an initial increase in net photosynthesis (A) and net primary productivity is common across woody plant species exposed to FACE eCO<sub>2</sub> treatments (Norby et al. 2005; Ainsworth and Rogers 2007), including in Liquidambar styraciflua (Sholtis et al. 2004), Pinus taeda (Crous and Ellsworth 2004), Populus × euramericana, Populus alba, P. nigra (Bernacchi et al. 2003; Liberloo et al. 2007), P. tremuloides (Noormets et al. 2010), Fagus sylvatica, Quercus petraea, Carpinus betulus, Acer campestre and Tilia platyphyllos (Bader et al. 2010). However, longer term eCO<sub>2</sub> field results are mixed, with some experiments reporting feedbacks such as acclimation and photosynthetic down-regulation through time (Rey and Jarvis 1998; Medlyn et al. 1999;

Kubiske et al. 2002; Bernacchi et al. 2003; Crous et al. 2008) and others not (Bader et al. 2010; Darbah et al. 2010).

Leaves typically adjust their Rubisco activity or content to the prevailing CO<sub>2</sub> concentration, i.e. down-regulation by elevated CO<sub>2</sub> (Tissue et al. 1993; Drake et al. 1997; Stitt and Krapp 1999; Rogers and Ellsworth 2002). Since eCO<sub>2</sub> increases the efficiency of Rubisco, A can be maintained or enhanced despite reductions in enzyme content, activity or maximum photosynthetic capacity. This implies greater photosynthetic N-use efficiency (PNUE) as displayed across C3 woody plant species exposed to elevated CO<sub>2</sub> (Peterson et al. 1999; Calfapietra et al. 2007).

Sweetgum (L. styraciflua) is a common temperate North American tree species, and is the dominant canopy species at the ORNL-FACE site in TN, USA, and the primary mid-canopy species at the Duke-FACE site in NC, USA. Prior results from these sites indicate a strong and consistent enhancement of light-saturated photosynthesis ( $A_{sat}$ ) by elevated CO<sub>2</sub>; the  $\sim$ 40 % increase in atmospheric  $CO_2$  resulted in 45 % enhancement of  $A_{sat}$  at the ORNL-FACE site after 3 years of treatments (Gunderson et al. 2002; Sholtis et al. 2004) and consistently >50 % enhancement of  $A_{\text{sat}}$  at the Duke-FACE site through 6 years of treatments (Herrick and Thomas 1999, 2003; Springer et al. 2005). After 3 years of treatment at ORNL-FACE there was no change in maximum photosynthetic capacity ( $A_{max}$ ), maximum electron transport rate ( $J_{max}$ ) or maximum carboxylation rate  $(V_{cmax})$  when foliage from both treatments was measured at the same CO2 concentrations (Sholtis et al. 2004). Elevated CO2 did reduce foliar N content and increase soluble carbohydrates and leaf mass per area (LMA, Herrick and Thomas 2003; Sholtis et al. 2004)—results consistent across time for sweetgum in both FACE studies. After 6 years of eCO<sub>2</sub> treatment at ORNL-FACE, there was still no significant difference in A<sub>sat</sub> when both treatments were measured at 400 ppm (Monson et al. 2007), indicating little eCO<sub>2</sub> down-regulation of photosynthesis.

After 12 years of  $eCO_2$  treatment at the ORNL-FACE research study, we re-assessed photosynthetic capacity of the dominant sweetgum trees to determine if the early enhancement of photosynthesis during the years 1–3 (Gunderson et al. 2002; Sholtis et al. 2004) was sustained after an additional 9–10 years of treatment.

Over the 12-year study, the canopy spread upward and there was expansion of the stand diameter distribution as dominant individual trees maintained growth, while suppressed individuals stagnated and began to die. In addition, soil N availability decreased across the site, with the greatest rates of decline in the eCO<sub>2</sub> plots (Garten et al. 2011). Decreased site nutrient availability was reflected by persistent inter-annual reductions in foliar N

content and net primary production (NPP) (Norby et al. 2010), and a shift in carbon (C) allocation belowground to roots (Norby et al. 2004). The reductions in NPP through time were greatest for  $eCO_2$  plots despite treatment-specific increases or decreases in canopy leaf area in response to inter-annual variability such as drought (Warren et al. 2011b), or the substantial reduction in  $eCO_2$  site water use (Warren et al. 2011a, b). In addition to increased soil moisture availability in  $eCO_2$  plots, the increase in root production should lead to an enhanced capacity for soil nutrient extraction. Yet canopy N content continued to decline through time at a greater rate for  $eCO_2$  plots than for  $aCO_2$  plots, which correlated with a greater reduction in NPP for  $eCO_2$  plots (Norby et al. 2010).

The main goal of this project was to determine if the initial enhancement of photosynthesis by eCO2 was sustained through time, and if responses were linked to the progressive decline in site resource availability (Garten et al. 2011), foliar N content (Norby et al. 2010) and shift in internal plant C allocation (Norby et al. 2004). In 2008-09, we re-measured gas exchange in sweetgum leaves and compared the results with data from previous gas exchange campaigns conducted across seasons from 1998 to 2000 (Gunderson et al. 2002; Sholtis et al. 2004); specifically the mid-summer 1999 dataset was used as it was the most comprehensive dataset and overlapped with the same period sampled in 2008-09 (from late July to early August). We hypothesized that (i) declining N availability would be reflected in reduced photosynthetic capacity through time and (ii) when photosynthesis is N-limited, eCO<sub>2</sub> leaves maintain greater RuBP-regeneration capacity, at lower Rubisco carboxylation rates, compared with aCO<sub>2</sub> leaves (e.g. the slope of  $J_{\text{max}}$ :  $V_{\text{cmax}}$  is steeper in aCO<sub>2</sub> leaves). Finally, we evaluated treatment effects on coupling between stomatal conductance and C-assimilation.

#### Methods

# Site description and CO<sub>2</sub> treatments

The study site was a 20-year-old sweetgum (*L. styraciflua* L.) plantation forest in Oak Ridge National Environmental Research Park in eastern TN, USA (35°54′N; 84°20′W). The soil was an Aquic Hapludult with a silty clay-loam texture. A free-air CO<sub>2</sub> enrichment system (Hendrey *et al.* 1999) was installed at the site in four 25-m-diameter plots in 1996. The FACE system regulated the release of CO<sub>2</sub> from vertical PVC pipes located in a ring around each plot based on wind speed, wind direction and in-situ measurements of current CO<sub>2</sub> concentration within the canopy. From 1998 to 2009, CO<sub>2</sub> was released into two FACE rings during each growing season to a target [CO<sub>2</sub>] of 560 ppm. Two FACE rings received ambient [CO<sub>2</sub>] and one additional ring, without FACE infrastructure, was

established to serve as a third control plot. Actual CO<sub>2</sub> enrichment varied through time as ambient concentrations rose incrementally and through modifications in the release regime, which resulted in a mean 40 % increase over ambient CO<sub>2</sub>. In 2008, tree height ranged from 10 to 24 m (mean = 18.2 + 3.4 m (+1 SD)), median tree diameter was  $14.6 \pm 4.1 \, \text{cm} \, (\pm 1 \, \text{SD})$  and peak leaf area index (mid-July) was  $4.1 \pm 0.2$ . Mean growing season (April-October) temperature was 19.6 °C in 2008 and 19.1 °C in 2009, and growing season precipitation was 440 mm in 2008 and 511 mm in 2009 (Riggs et al. 2010). The site, experimental design and FACE apparatus have been previously described (Norby et al. 2001; Warren et al. 2011b) and results including micrometeorological data have been archived for public use: http://cdiac.ornl. gov/ftp/FACE/ornldata.

## **Photosynthesis**

For each measurement campaign (2008–09), branches were collected early in the morning from mid-canopy (2009) and fully exposed upper canopy (2008, 2009) dominant or co-dominant trees within each treatment plot. Canopy access was achieved via the FACE infrastructure towers, which provided access to trees within  $\sim$ 8 m from the towers. Trees were selected primarily from within the treatment plots (i.e. >2.5 m from the ring edge); however, in ambient plots, several buffer trees were also sampled. On measurement days, 1-2 m long branches were cut from the mid- and upper canopy of selected trees with a pole pruner and quickly placed into plastic bags with wet paper towels to minimize desiccation. Branches were re-cut under water to remove potential embolism induced during removal from the trees. Most L. styraciflua vessel length is <0.3 m, therefore >0.5 m of the branch was removed. Prior measurements of gas exchange on severed or attached branches at the site found no differences in measurements over a 2-h period (Tissue et al. 2002; Monson et al. 2007). Gas exchange was measured using four portable photosynthesis systems (LI-6400XT, LI-COR, Lincoln, NE, USA) during late July 2008 and early August 2009. Measurements were conducted outside under ambient conditions on one or two leaves per branch (total samples n = 16-23 (2008); n = 29-31 (2009)). Conditions in the gas exchange cuvette were set to approximate ambient outside temperature: relative humidity was  $\sim$ 50–80 %, photosynthetically active radiation was 1800  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> and CO<sub>2</sub> concentration was initially set at 400 ppm prior to the  $A-C_i$ curve measurements (see below). Foliage was retained for the analysis of N, chlorophyll and LMA. Similar raw datasets were available from previous work performed at the site in late July-August 1999 (Gunderson et al. 2002; Sholtis et al. 2004). At that time, photosynthesis

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was measured in situ using vertical man lifts. Upper canopy photosynthetic and biochemical datasets were combined across years (1999, 2008, 2009) to investigate shifts in response through time.

In addition to measurement of the light-saturated photosynthetic rate (A<sub>sat</sub>) at the CO<sub>2</sub> concentration in which the trees were growing, response curves of assimilation versus the CO<sub>2</sub> concentration within the intercellular spaces of the leaf  $(C_i)$ , or  $A-C_i$  curves, were measured in all years. These response curves were used to estimate maximum electron transport rate,  $J_{max}$ , and maximum Rubisco activity,  $V_{cmax}$ , using a consistent set of leaf photosynthesis model equations (Medlyn et al. 2002). In 2008 and 2009, curves were conducted through an initially declining, then increasing, reference CO2 regime (400, 300, 200, 100, 50, 400, 550, 700, 900, 1200, 1600 ppm). This contrasts slightly with curves conducted in 1999 using a declining CO<sub>2</sub> regime (1500, 1200, 960, 760, 560, 360, 250, 175, 100, 50, 0 ppm). To contrast gas exchange responses at growth CO<sub>2</sub>, results were assessed at 400 or 550 ppm for  $aCO_2$  or  $eCO_2$ , respectively. Since those CO<sub>2</sub> concentrations were not part of the 1999 campaigns, values at 400 or 550 ppm were interpolated from the linear portion of each response curve ( $R^2$ ) 0.99) for comparison. Atmospheric CO<sub>2</sub> varied between 1998 and 2008 due to incremental ambient CO<sub>2</sub> increases and FACE performance and management; range (384-405 or 528-560 ppm  $CO_2$ ) for  $aCO_2$  or  $eCO_2$ , respectively. Relationships between  $J_{\text{max}}$ ,  $V_{\text{cmax}}$ , stomatal conductance  $(g_s)$ , foliar N, chlorophyll content and  $CO_2$  treatments were assessed.

#### Foliar biochemistry and LMA

To assess foliar chlorophyll and N concentrations, and LMA, nine leaf discs (9 mm diameter) were collected from the same area of each leaf (avoiding midrib) used for gas exchange measurements (2008-09). For chlorophyll analysis, two leaf discs were immediately placed in scintillation vials containing 5 mL of N,N-dimethylformamide (DMF) and extracted at 4 °C in the dark until analysis. Following extraction total chlorophyll, chlorophyll a and chlorophyll b were determined based on spectroscopy at 647 and 665 nm (Inskeep and Bloom 1985), and results contrasted with previous chlorophyll analysis in 1999 using an ethanol extraction (Sholtis et al. 2004). The two extraction solvents have been shown to provide comparable estimates of total chlorophyll in 9 of 11 tree species studied (Minocha et al. 2009). However, paired comparisons at our lab with sweetgum found that while DMF was able to fully extract chlorophyll within 1 day, 95 % ethanol at room temperature took up to 7 days for full extraction, and even then yielded only 80 % of the total chlorophyll yielded by DMF. Chlorophyll was thus likely underestimated in the earlier studies, and

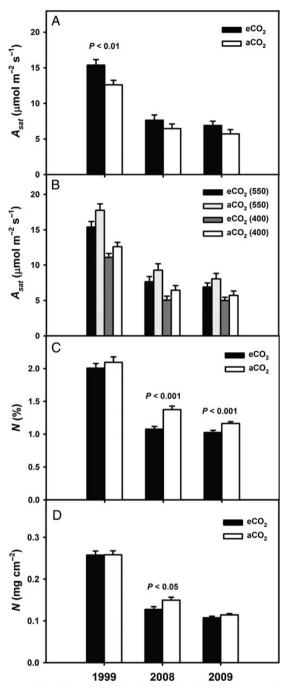
results are discussed in this context. Foliar N concentration was assessed for oven-dry (70 °C) discs using an elemental analyser (Costech Analytical Technologies, Inc., Valencia, CA, USA). In 1999, N content was measured on whole leaves, but not leaf discs, as used in 2008–09. Thus, comparison of N content across years required an LMA adjustment from whole leaf to leaf disc (LMA<sub>disk</sub> = 0.9635(LMA<sub>total</sub>) + 0.7013 (mg cm<sup>-2</sup>); n = 28;  $R^2 = 0.66$ ; based on 2008 foliage). All N content is provided on a disc basis.

#### **Statistics**

Regression slopes and treatment differences in photosynthesis, chlorophyll content,  $V_{cmax}$  and  $J_{max}$  were analysed using t-tests and analysis of variance techniques. There were no significant plot (ring) effects on chlorophyll, N,  $J_{max}$ or  $V_{\rm cmax}$  measured in 1999 or later years (0.1 < P < 0.9). As such, individual leaves were considered as the (pseudoreplicated) experimental unit (df = 37-60 per treatment per year) as opposed to use of treatment rings (df = 1-2). This is common for analyses of these large, expensive studies where true replication is limited, and results should be considered in this context; i.e. there is a greater chance to detect spurious treatment effects (Type I errors) (Hurlbert 1984). Data manipulation and statistical procedures were completed using SAS statistical software (ver. 9.1.3, SAS Institute, Cary, NC, USA) and SigmaPlot (ver. 11.1, Systat Software, Inc., San Jose, CA). Relative differences between treatments and significance levels (P values) are presented; P < 0.05 indicates statistical significance.

#### Results

There were significant changes in photosynthetic rates and the photosynthetic response to eCO<sub>2</sub> over time. In 1999, light-saturated photosynthesis (Asat) was significantly greater in eCO<sub>2</sub> than in aCO<sub>2</sub> foliage, but when re-measured in 2008, A<sub>sat</sub> was similar for the two treatments. In 1999,  $A_{sat}$  was 15.4  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> for eCO<sub>2</sub> foliage, 22 % greater than for  $aCO_2$  foliage (P < 0.01; df = 60) (Fig. 1A). In both  $CO_2$  treatments,  $A_{sat}$  declined through time to 50 % of the 1999 rates by 2008 and to 45 % of the 1999 rates by 2009. In 2008 and 2009, there was no longer a significant difference in  $A_{sat}$  (P = 0.27, df = 37 (2008); P = 0.17; df = 56 (2009)) (Fig. 1A). There was no significant difference between treatments when  $A_{sat}$  was measured at the same  $CO_2$  concentrations, although measured A<sub>sat</sub> tended to be lower for eCO<sub>2</sub> foliage than for aCO<sub>2</sub> foliage. In 1999, measured A<sub>sat</sub> was 13 % lower for eCO<sub>2</sub> foliage than for aCO<sub>2</sub> foliage when both were measured at 550 ppm  $CO_2$  (P = 0.07; df = 60) and 12 % lower when both were measured at 400 ppm CO<sub>2</sub> (P = 0.09) (Fig. 1B). In 2008, measured  $A_{sat}$  was 27 %



**Figure 1.** (A) Light-saturated photosynthesis ( $A_{\rm sat}$ ) at growth CO<sub>2</sub> (400 or 550 ppm for ambient (aCO<sub>2</sub>) and elevated (eCO<sub>2</sub>) CO<sub>2</sub> treatments, respectively) for mature upper canopy sweetgum foliage in mid-summer 1999, 2008 and 2009 as derived from  $A-C_i$  curves. (B)  $A_{\rm sat}$  for aCO<sub>2</sub> and eCO<sub>2</sub> foliage at 400 and 550 ppm CO<sub>2</sub>. (C) Foliar N content expressed on a mass (%) or (D) leaf area (mg cm<sup>-2</sup>) basis through time.

lower for eCO $_2$  foliage than for aCO $_2$  foliage when both were measured at 550 ppm CO $_2$  (P=0.17; df =37) and 22 % lower when both were measured at 400 ppm CO $_2$  (P=0.12). In 2009, measured  $A_{\rm sat}$  was 24 % lower for eCO $_2$ 

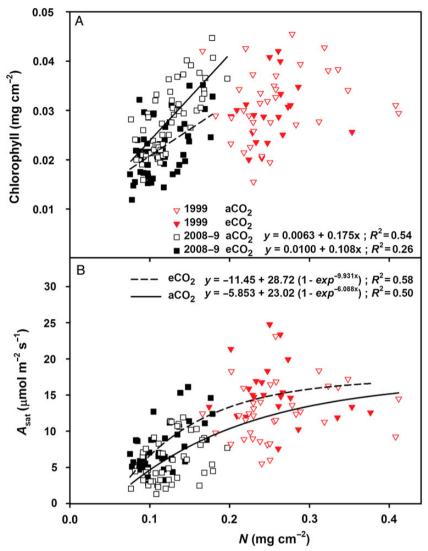
foliage than for a $CO_2$  foliage when both were measured at 550 ppm  $CO_2$  (P=0.24; df = 56) and 23 % lower when both were measured at 400 ppm  $CO_2$  (P=0.35) (Fig. 1B).

Tracking the temporal pattern of  $A_{\rm sat}$ , foliar N concentration declined by  $\sim 50$  % from 1999 to 2009 across both treatments, with values as low as 6.9 or 8.5 mg g $^{-1}$  for eCO $_2$  or aCO $_2$ , respectively, which was not much higher than the seasonal senescent foliar litter (5.8 mg g $^{-1}$ ). Mass-based foliar N content in eCO $_2$  leaves was significantly lower than for aCO $_2$  in 2008 and 2009 (P < 0.001), but not in 1999 (P = 0.22) (Fig. 1C). Elevated CO $_2$  area-based N content was significantly lower than for aCO $_2$  in 2008 (P < 0.05), but not in 2009 (P = 0.08) or in 1999 (P = 0.47) (Fig. 1D).

Many of the measured photosynthetic parameters were strongly related to N content, which dropped significantly over the course of the experiment. In 1999, the N content of foliage from both the treatments ranged from  $\sim$ 0.2 to 0.4 mg cm<sup>-2</sup>, and there was no relationship between foliar N and chlorophyll content (Fig. 2A). When N content dropped  $< 0.2 \text{ mg cm}^{-2}$  (2008–09), there was a strong linear relationship with chlorophyll content, with a statistically steeper regression slope for the aCO<sub>2</sub> foliage (Fig. 2A). Mean chlorophyll values were not different between CO<sub>2</sub> treatments in 1999 (0.031 mg cm<sup>-2</sup>; P = 0.85), but were significantly lower for  $eCO_2$  (0.022 mg cm<sup>-2</sup>) than for  $aCO_2$  $(0.028 \text{ mg cm}^{-2}; P < 0.001)$  foliage in 2008–09. Leaf mass per area also declined through time for both treatments, and was always greater for eCO<sub>2</sub> foliage. In 1999, LMA was 8.6 % greater for eCO<sub>2</sub> foliage (128.9 g m<sup>-2</sup>) than for aCO<sub>2</sub> foliage (118.7 g m $^{-2}$ ; P < 0.01). In 2008, LMA was not significantly greater for  $eCO_2$  foliage (115.9 g m<sup>-2</sup>) than for  $aCO_2$ foliage (107.7 g m<sup>-2</sup>; P = 0.10). In 2009, LMA was 6.6 % greater for  $eCO_2$  foliage (104.5 g m<sup>-2</sup>) than for  $aCO_2$  foliage  $(98.0 \text{ g m}^{-2}; P < 0.01).$ 

Nitrogen content also had a significant impact on  $A_{\rm sat}$  particularly at lower values of N. Values of  $A_{\rm sat}$  plateaued as N content increased (Fig. 2B). The linear regression slope between  $A_{\rm sat}$  and N for 1999–2009 was slightly steeper for eCO<sub>2</sub> than for aCO<sub>2</sub> (P=0.055) slopes and coincided at 0.057 mg cm<sup>-2</sup>, which is approximately the N content of senescent tissue (regression not shown).

Values of  $J_{\rm max}$  and  $V_{\rm cmax}$  were not related to N content above  $\sim\!0.2$  mg cm $^{-2}$ , but declined strongly with declining N content (Fig. 3A and B). There was a minimal overlap in N content of leaf samples from early (0.166–0.412 mg cm $^{-2}$ ) to late (0.075–0.197 mg cm $^{-2}$ ) years, which introduces a potential confounding effect of N  $\times$  year, and which prohibited further refinement of the  $\sim\!0.2$  mg cm $^{-2}$  N response threshold. Even so, annual measurements throughout the study confirm the N differences as foliage displayed an incremental decline in N content through time (Norby et al. 2010). There were



**Figure 2.** (A) Total foliar chlorophyll (chlorophyll a + b) for ambient (aCO<sub>2</sub>—solid line) and elevated (eCO<sub>2</sub>—dashed line) treatments in mid-summer 1999, 2008 and 2009 in relation to foliar N content, expressed on a leaf-area basis. Regressions were significant for N < 0.2 mg cm<sup>-2</sup> ( $R^2 = 0.26$  or 0.54; P < 0.0001), and there was a significant treatment effect on slope (P < 0.05; F = 4.09; df = 107), but little trend was apparent in 1999 when N > 0.2 ( $R^2 = 0.02$  or 0.08; P > 0.05). (B) Light-saturated photosynthesis at growth CO<sub>2</sub> (400 or 550 ppm) in mid-summer across years (1999, 2008, 2009) in relation to foliar N content, expressed on an area basis.

no CO<sub>2</sub> treatment differences in the relationship between N content and  $J_{\rm max}$  or N content and  $V_{\rm cmax} < 0.2$  mg cm $^{-2}$ . Exponential rise-to-max regressions through all data provided a good fit for  $J_{\rm max}$  or  $V_{\rm cmax}$  with N content, and again suggested N saturation for the 1999 foliage (Fig. 3C). While there was no CO<sub>2</sub> treatment effect on the ratio of  $J_{\rm max}$ :  $V_{\rm cmax}$  through time (Fig. 4), the slope of the linear regression of  $J_{\rm max}$ :  $V_{\rm cmax}$  declined through time across treatments (P < 0.05) from  $\sim 1.6$  in 1999 to  $\sim 1.0$  in 2009, indicating a decline in electron transport rate with respect to carboxylation rate through time. There was no relationship between  $J_{\rm max}$ :  $V_{\rm cmax}$  and N content ( $R^2 = 0.02$ ).

Photosynthetic water-use efficiency (WUE, photosynthesis per unit water use;  $A_{\text{sat}}$ :  $g_{\text{s}}$ ) in 2008–09 was

 $\sim$ 35 % greater for eCO<sub>2</sub> foliage than for aCO<sub>2</sub> foliage (P=0.02; Fig. 5). The relationship between  $A_{\rm sat}$  and stomatal conductance ( $g_{\rm s}$ ) was similar to aCO<sub>2</sub> foliage measured earlier (1998–2000) during different seasons and across years, but not for eCO<sub>2</sub> foliage measured earlier (Fig. 5—regression lines).

#### **Discussion**

Photosynthesis was down-regulated after long-term eCO<sub>2</sub> treatments at the ORNL-FACE research site due to a reduction in foliar N content, a symptom indicative of progressive N limitation as the stand developed (Norby et al. 2010). Net photosynthesis (A) and photosynthetic

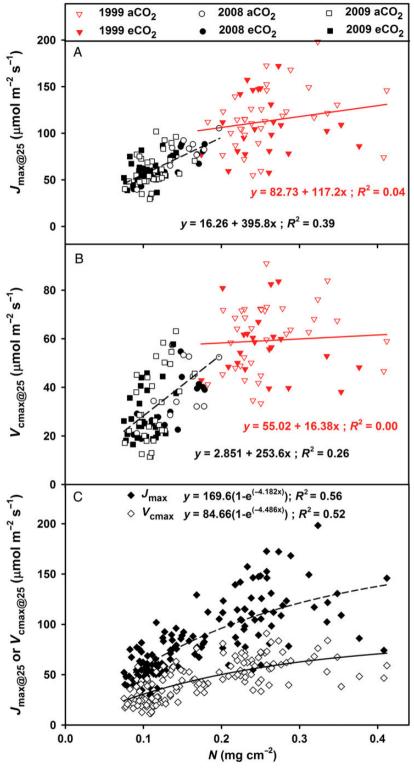


Figure 3. (A) Maximum electron transport rate  $(J_{max})$  and (B) carboxylation rate  $(V_{cmax})$  at 25 °C for ambient  $(aCO_2)$  and elevated  $(eCO_2)$   $CO_2$  treatments by year or (C) across all years in relation to foliar N content on an area basis (as leaf discs). Linear regressions by treatment were generally significant in 2008 and 2009  $(R^2 = 0.14 - 0.80; P = 0.07 - 0.003)$  when N < 0.2 mg cm<sup>-2</sup>, but not significant in 1999  $(R^2 = 0 - 0.08; P = 0.98 - 0.1)$ . There were no significant treatment effects on the relationships within or across years (P = 0.22 - 0.75). Regression equations are given for combined data across treatments.

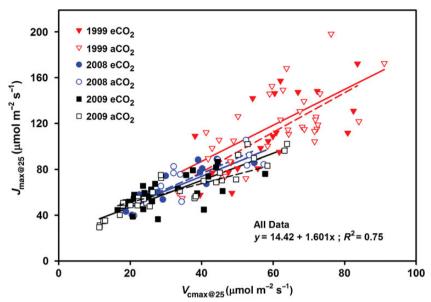


Figure 4. Relationships between maximum carboxylation rate ( $V_{cmax}$ ) and electron transport rate ( $J_{max}$ ) standardized to 25 °C for ambient (aCO<sub>2</sub>—solid lines) and elevated (eCO<sub>2</sub>—dashed lines) CO<sub>2</sub> treatments through time. Regressions were significant for all treatments and years ( $R^2 = 0.45 - 0.77$ ), but there were no treatment effects on the relationships (in 2009, aCO<sub>2</sub> had a slightly steeper slope, P = 0.067).

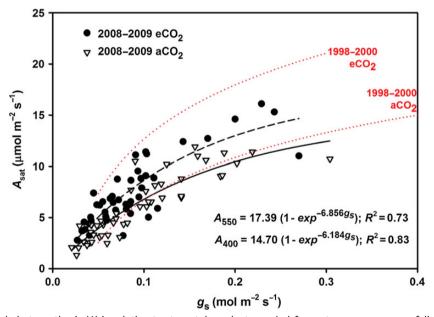


Figure 5. Light-saturated photosynthesis (A) in relation to stomatal conductance ( $g_s$ ) for mature upper canopy foliage exposed to elevated (eCO<sub>2</sub>; dashed line) or ambient (aCO<sub>2</sub>; solid line) CO<sub>2</sub> (400 or 550 ppm, respectively) in mid-summer 2008 and 2009. Dotted regression lines represent results of similar measurements conducted across seasons from 1998 to 2000 based on Gunderson *et al.* (2002).

capacity of sweetgum trees declined through time as the stand matured; these responses were correlated with increased nutrient (N, Ca<sup>2+</sup>, Mg<sup>2+</sup>) sequestration in long-term biomass or soil organic matter and reduced availability and uptake of N (Finzi et al. 2006; Norby et al. 2010; Iversen et al. 2011; K. Kim et al., unpubl. data). Consistent with our first hypothesis, we found a significant reduction in overall photosynthetic capacity across treatments as leaf N content declined. However,

in contrast to our second hypothesis, the reduction in foliar N was so acute that the benefit of  $eCO_2$  in generating enhanced photosynthetic efficiency was lost. As such, the initial  $CO_2$  stimulation ( $\sim$ 45 % greater) of net photosynthesis observed after 3 years (Gunderson et al. 2002; Sholtis et al. 2004) was not sustained after 12 years.

There was no relationship between foliar N and A in 1999, suggesting that foliar N content of upper canopy leaves (2.0–2.1%) was in excess of photosynthetic

requirements during the early years of the experiment. Total canopy N content in aCO<sub>2</sub> plots declined from  $\sim$ 1.8 % prior to the experiment in 1996 to 1.6 % by 2004 (Norby et al. 2010). Earlier work in younger 6-year-old sweetgum stands indicated that a leaf N threshold for maximum biomass production was  $\sim$ 1.9 % (Scott et al. 2004). In March 2004, part of our sweetgum stand was fertilized (200 kg  $ha^{-1}$  urea), which boosted upper canopy leaf N concentrations from  $\sim$ 1.65 % (control) to 2.04 % (fertilized) by late July, and substantially increased canopy leaf area and stem growth (Iversen and Norby 2008). The sweetgum trees at the Duke-FACE site indicated no downregulation of photosynthesis and no change in tissue N content of upper canopy leaves from 1997 to 2004 (N content > 1.5 %) (Herrick and Thomas 1999; Tissue et al. 2002; Springer et al. 2005; Ellsworth et al. 2012). Together, these prior studies support our conclusion that upper canopy photosynthesis at ORNL-FACE was not N limited in 1999, but strongly limited by the end of the experiment when the foliar N content had dropped to 1.03 % (eCO<sub>2</sub>) and 1.16 % (aCO<sub>2</sub>), which was significantly lower for the eCO<sub>2</sub> treatment.

There was also no relationship between foliar N and chlorophyll in 1999, suggesting that the chlorophyll content was in excess of photosynthetic requirements during the early years of the experiment. However, the chlorophyll values reported for the site in 1999 appear to be too low, suggesting a poor extraction yield occurred with the ethanol solvent as described earlier in the Methods section: 'Foliar biochemistry and leaf mass per area'. Chlorophyll values ranged from  $\sim$ 0.020 to 0.043 mg cm<sup>-2</sup> in 1999, similar to the values in 2008-09 (0.014-0.041 mg cm<sup>-2</sup>), despite much lower N content. Actual chlorophyll levels at the ORNL-FACE site in 1999 were thus likely significantly higher than indicated here. Indeed, chlorophyll extracted from similar aged sweetgum trees at the Duke-FACE site that were not N limited indicated late season values from 0.047 to 0.071 mg cm<sup>-2</sup> (Herrick and Thomas 2001).

The lack of relationship between foliar N content and chlorophyll,  $J_{\rm max}$  or  $V_{\rm cmax}$  in 1999 suggests that the photosynthetic apparatus in sweetgum was N saturated above  $\sim 0.2$  mg cm $^{-2}$  and that N had accumulated in excess to meet other N requirements (e.g. growth and storage). A similar pattern of N saturation of  $V_{\rm cmax}$  has been suggested for pine at the Duke-FACE site, with saturation of the carboxylation rate at tissue contents >1.4 % N (Palmroth et al. 2013). Nitrogen is most often stored as free amino acids or proteins, especially Rubisco, or as inorganic N within the vacuole (Proe and Millard 1994; Warren and Adams 2004). It is likely that reductions in enzyme activation state in eCO $_2$  foliage can partially uncouple photosynthetic response from foliar N content, as N is shifted into non-active 'storage' components. For

example, the activation state of Rubisco has been shown to decline linearly with increasing leaf N in apple (Cheng and Fuchigami 2000). Given that  $J_{\rm max}$  and  $V_{\rm cmax}$  are often modelled based on N content of the leaf, not on the proportion of N actively used in photosynthesis this may lead to increased uncertainty in estimates of GPP at higher N contents.

While the initial foliar N content of the stand was adequate for photosynthesis, N became progressively more limiting, restricting light harvesting, rates of Rubisco and the electron transport chain. N content for several of the measured leaves in 2008-09 dropped to values as low as  $0.075 \,\mathrm{mg}\,\mathrm{cm}^{-2}$ , which was not much higher than senescent foliage (0.057 mg  $cm^{-2}$ ). At lower foliar levels of N (0.075-0.2 mg cm $^{-2}$ ), eCO<sub>2</sub> leaves utilized N more efficiently (greater PNUE) compared with aCO<sub>2</sub> leaves (Fig. 2B). For leaves operating at greater PNUE, the theoretical photosynthetic N requirement is lower. In our study, this would entail a lower photosynthetic N threshold in eCO<sub>2</sub> leaves. Our results could not determine this threshold, as  $J_{\text{max}}$  and  $V_{\text{cmax}}$  became similarly N-restricted below  $\sim$ 0.2 mg cm<sup>-2</sup>, independent of CO<sub>2</sub> availability.

In mature leaves, the optimum N distribution between RuBP carboxylation/regeneration and electron transport may shift as a result of environmental changes (e.g. CO<sub>2</sub> concentration, nutrient availability or thermal acclimation) such that the processes are co-limiting (e.g. Makino et al. 1994; Medlyn 1996; Hikosaka 1997). Theory predicts that the  $J_{\text{max}}$ :  $V_{\text{cmax}}$  ratio should increase under eCO<sub>2</sub> (Medlyn 1996), and simulations with pine seedlings suggest that this optimization may vary seasonally (Lewis et al. 1996). We found no evidence of a treatmentdependent shift through time (Fig. 4). Similar work at the Duke-FACE site with P. taeda also found that there was no change in the slope of  $J_{\text{max}}$ :  $V_{\text{cmax}}$  due to eCO<sub>2</sub> treatments (Crous et al. 2008). Other observations have found that  $J_{\text{max}}$ :  $V_{\text{cmax}}$  can increase slightly ( $\sim$ 5 %) with eCO<sub>2</sub> (Medlyn et al. 1999; Ainsworth and Long 2007), although this change is generally smaller than predicted by theory (Medlyn 1996).

After long-term eCO $_2$  treatment at the Duke-FACE site, there was a decline in the slope of  $J_{\rm max}$ –N and  $V_{\rm cmax}$ –N in pine, indicating eCO $_2$  down-regulation of overall photosynthetic capacity (Crous et al. 2008). In contrast, at the ORNL-FACE site, there was no treatment effect on the relationships between  $J_{\rm max}$ –N and  $V_{\rm cmax}$ –N in sweetgum. Rather, the declining slope of  $J_{\rm max}$ :  $V_{\rm cmax}$  through time for all treatments suggests a gradual reallocation of N as plants acclimated to reductions in soil N availability.

The decline in photosynthetic capacity and resultant reduction in eCO<sub>2</sub> enhancement of NPP (Norby et al. 2010), coupled with the demonstrated reduction in

N availability for eCO<sub>2</sub> plots (Garten et al. 2011), is consistent with the progressive N limitation hypothesis (Comins and McMurtrie 1993; Luo et al. 2004). New root production was stimulated in eCO<sub>2</sub> plots (Norby et al. 2004) likely as a result of increased internal plant nutrient demand and chemical signalling, including the buildup and translocation of newly fixed sugars. Enhanced root production and exploration at deeper soil depths increased N availability and uptake for eCO<sub>2</sub> trees (Finzi et al. 2007; Iversen et al. 2011), but N uptake rates were unable to meet demand and resulted in progressive reductions in photosynthesis. Limitation by other elements could also dampen photosynthetic activity, e.g. Mg, which is the central element in chlorophyll and a key cofactor for Rubisco activation. However, base cation uptake (including Mg and Ca) increased through time in eCO2 trees based on wood composition (K. Kim et al., unpubl. data), likely as a consequence of the enhanced root exploration. Foliar content of other essential mineral nutrients such as P and K did not decline through time in litter collected from 1998 to 2002, nor in wood tissue collected in 2009.

The measurement of gas exchange under fully rehydrated conditions was useful for resolving maximum rates of photosynthesis. Under these hydrated conditions, stomatal conductance remained lower for eCO<sub>2</sub> foliage than for  $aCO_2$  foliage;  $eCO_2$ :  $aCO_2$   $g_s$  ranged from 0.75 to 0.81. Similarly, under dry field conditions, whole canopy conductance  $(q_c)$  based on sap flow remained lower for eCO<sub>2</sub> foliage; eCO<sub>2</sub>: aCO<sub>2</sub>  $g_c$  was  $\sim$ 0.7 and declined to  $\sim$ 0.4 as stomatal aperture declined during extreme drought (Warren et al. 2011b). The relationship between A and  $g_s$  did not change much through time for aCO<sub>2</sub> foliage, but was significantly reduced for eCO<sub>2</sub> foliage (Fig. 5). This suggests that the eCO<sub>2</sub> stimulation of photosynthetic WUE declined through time with increasing N limitation. Even so, WUE remained greater for eCO<sub>2</sub> sweetgum trees, and the relationship between stomatal conductance and assimilation was in agreement with predictions of the optimal stomatal model (Medlyn et al. 2011), independent of leaf N content (De Kauwe et al. 2013). At the Duke-FACE site, partitioning N either to 'photosynthetically active' or 'storage components' based on the  $N-V_{cmax}$  threshold allowed for linkage of eCO<sub>2</sub>-stimulated WUE to marginal N-use efficiency within the context of optimality theory (Palmroth et al. 2013). Results from these studies allow predictive capacity of ecosystem level responses to changes in site resources such as CO<sub>2</sub>, water and nutrients.

While shorter-term environmental (e.g. solar radiation, T, CO<sub>2</sub> concentration) regulation of photosynthesis has been studied in detail, knowledge of longer-term shifts in the photosynthetic apparatus in response to eCO<sub>2</sub> has been generally limited to observations at the FACE studies and a few long-term open-top chamber studies

(e.g. Erickson et al. 2013). Long-term dynamics of soil water or nutrient availability (Finzi et al. 2006; Palmroth et al. 2013) and growth sink demands (Paul and Pellny 2003; Fatichi et al. 2013) provide additional regulation of photosynthetic feedback mechanisms and capacity of C uptake in some ecosystems. These processes are not always well represented in global models that depend on scaling mechanistic photosynthetic responses to the land surface (Smith and Dukes 2013). However, it is encouraging that some optimization models do successfully predict biochemical (e.g. reduced foliar N) and mechanistic (e.g. reduced stomatal conductance) process response to eCO<sub>2</sub> and shifts in resource availability (Dewar et al. 2009). Data from the FACE studies, including this one, are being used in a multi-model comparison to assess land surface model structure and variation in predictive capacity, which will result in future model improvement (e.g. De Kauwe et al. 2013; Walker et al. 2014).

## **Conclusions**

Photosynthesis is the predominant mechanism that removes CO<sub>2</sub> from the atmosphere. Therefore, accurate projections of CO<sub>2</sub> feedbacks to climate forcing rely on the correct mechanistic representation of plant photosynthetic C assimilation capacity. At the ORNL-FACE site, the initial stimulation of carbon assimilation by sweetgum trees exposed to eCO<sub>2</sub> was lost over the 12-year study. The loss of stimulation was due to the declining foliar N content, which has been related to lower soil N availability and uptake. Sweetgum foliar N content below a threshold value ( $\sim$ 0.2 mg N cm $^{-2}$  leaf area) restricted carbon assimilation due to photosynthetic biochemical limitations. This study indicates that atmospheric enrichment of CO<sub>2</sub> may result in an initial 'fertilization' effect, directly increasing photosynthesis and productivity, but this may later be offset by the declining soil N availability, which could completely eliminate the initial positive effects of eCO<sub>2</sub>. Overall, we suggest caution in extrapolating shorter-term eCO<sub>2</sub> responses to longer-term ecosystem processes, which are confounded by other controlling factors such as soil nutrient availability, water availability or air temperature that can change through time.

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# **Contributions by the Authors**

J.M.W. designed and conducted the experiment. A.M.J. performed laboratory work. B.E.M. analysed the data.

All authors contributed to the writing and editing of the manuscript.

#### **Conflicts of Interest Statement**

None declared.

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